PTO/SB/21 (09-04)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

10/633,338

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**Application Number** 

TRANSMITTAL

KANSIVIII I AL	-	Filing Date		July 31, 20		
G 3 1 2006 P) FORM		First Named Invent	or	Steven Sh	oltis	
<i>\$</i> /		Art Unit		2195		
PARENTE Used for all correspondence after	initial filing)	Examiner Name		Meng Ai T. An		
Total Number of Pages in This Submiss		Attorney Docket Nu	ımber	CA703517	2001	
	ENCLO	SURES (check all tha	t apply)			
Fee Transmittal Form	Drawing(s	5)			owance Communication to TC	
Fee Attached	Licensing	-related Papers			Communication to Board als and Interferences	
Amendment / Reply	Petition				Communication to TC Notice, Brief, Reply Brief)	
After Final	_	Convert to a al Application		Proprieta	ary Information	
Affidavits/declaration(s)		Attorney, Revocation of Correspondence Add	ress	Status L	etter	
Extension of Time Request	Terminal	Disclaimer		Other E	inclosure(s) dentify below):	
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Information Disclosure Statement (5 pages)		ndscape Table on CD		Postcard for Acknowledgement of Receipt.		
Certified Copy of Priority Document(s)	Remarks					
Reply to Missing Parts/ Incomplete Application	·					
Reply to Missing Parts under 37 CFR1.52 or 1.53			H)			
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Date	August 29	, 2006	Reg. No.	39,768		
	CERTIFICA	TE OF TRANSMISS	ION/MAI	LING		
I hereby certify that this correspond Commissioner for Patents, P.O. Box 14	dence is being 150, Alexandria	mailed via first clas VA 22313-1450, on the	s postage e date sho	e to the US wn below.	PTO, Mail Stop Amendment,	
Signature	a. Hunt	inder	١			
				Date	August 29, 2006	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



#### ICERTIFICATE OF MAILING

I hereby certify that this paper (along with its corresponding PTO/SB/08A and references) is being deposited with the United States Postal Service, with sufficient postage as First Class Mail in envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Dated:

August 29, 2006

Laura A. Huntington

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Steven SHOLTIS et al.

Serial No.: 10/633,338

Filing Date: July 31, 2003

For: FEDERATED SYSTEM AND METHODS AND MECHANISMS OF IMPLEMENTING

AND USING SUCH A SYSTEM

Confirmation No.: 4179

Examiner: Meng Ai T. An

Group Art Unit: 2195

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop **AMENDMENT**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Supplemental Information Disclosure Statement (IDS) are being brought to the attention of the Office. The items are listed on the attached forms PTO/SB/08A and PTO/SB/08B. The Examiner is requested to make these documents of record.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant(s) is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under

such. 1. Timing of the Information Disclosure Statement: This IDS is believed to be timely in that it is a re-submission of an IDS previously submitted under 37 CFR § 1.97(b), that is (1) with the new patent application submitted herein (37 CFR § 1.97(a)); or (2) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d) or (3) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (4) before the mailing of a first Office action on the merits; or (5) before the mailing of a first Office action after filing a request for continued examination under § 1.114, or (6) a resubmission of a previously submitted IDS. Thus, no fee is required. To the extent a fee is required, please charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below. However, if the undersigned is in error in this regard, Applicant(s) respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below. However, if the undersigned is in error in this regard, Applicant(s) respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required. This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office 冈 Action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311. Charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced  $\boxtimes$ below. The fee due under 37 CFR § 1.17(p) is being concurrently submitted with the П filing of an electronic IDS submission. Thus, no additional fee is required. A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. П In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant(s) respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below. This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the

35 USC § 102 with respect to this invention unless specifically designated by Applicant(s) as

issue fee. A statement under 37 CFR § 1.97(e) is included below. Charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.
This IDS is being submitted under 37 CFR § 1.97(i), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee.
STATEMENT UNDER 37 CFR § 1.97(e):
That each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS; or
That no item of information contained in the IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the IDS.
2. Copies of the Cited Items:
A copy of each of the following items listed on the attached form PTO/SB/08A (Item Nos. 9 -19) is enclosed.
A copy of each of the following items listed on the attached forms PTO/SB/08B (Item Nos. 1 - 19) is enclosed.
Copies of the following items listed on the attached form PTO/SB/08A (Item Nos. 1 -8) are not enclosed. See 37 CFR 1.98(a)(2)(i).
Copies of the following documents listed in PTO/SB/08A (Item Nos. *) and PTO/SB/08E (Item Nos. *) are not supplied as they were previously cited by the Office or submitted in Information Disclosure Statements in related applications (Application No. *, filed *) and relied upon in this application for an earlier filing date under 35 USC § 120. See 37 CFR § 1.98(d). The Examiner is requested to make these documents of record.
Copies of the following items listed on the attached forms PTO/SB/08A (Item Nos. *) and PTO/SB/08B (Item Nos. *) were cited in a foreign examination report in a related case. A
Page 3 Serial No. 10/633,338

copy of the search report and the cited references not already of record in this application are attached hereto.

## 3. Concise Explanation of Relevance:

A concise explanation of relevance of the items listed on forms PTO/SB/08A and PTO/SB/08B is not given.

A concise explanation of relevance of [some of] the items listed on forms PTO/SB/08A and PTO/SB/08B is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references (copy attached).

## 4. Related Applications:

Applicant(s) brings to the Office's attention the following related application(s): U.S. Patent Application No. 10/633,277 filed July 31, 2003 and U.S. Patent Application No. 10/632,769 filed July 31,2003.

#### 5. Conclusion:

Citation of the above documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
- a representation that a search has been made, other than as described above;
   and
- an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached forms PTO/SB/08A and PTO/SB/08B with initials or other appropriate marks. In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, Applicant(s) petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in

connection with the filing of this document to <u>Deposit Account No. 50-2518</u>, referencing <u>CA7035172001</u>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated:

Respectfully submitted,

By:

Peter C. Mei

Registration No. 39,768

**Bingham McCutchen LLP** 

3 Embarcadero Center San Francisco, California 94111

Telephone: (650) 849-4870 Facsimile: (650) 849-4800

PTO/SB/08A (07-05)
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DMATION DISCLOSUES

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 3

e for form 1449A/PTO

Complete if Known						
Application Number	10/633,338					
Filing Date	07/31/2003					
First Named Inventor	Steven Sholtis					
Art Unit	2195					
Examiner Name	Meng Ai T. An					
Attorney Docket Number	CA7035172001					

			U.S. PATE	NT D	OCUN	MENTS			
Examiner Initials *	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		televant
	1	US- 5,862,223	01/19/19	99	Walker et al.				
	2	US- 5,878,408	03/02/19	99	1	/an Huben et al.			
	3	US- 5,933,356	08/03/19	99		Rostoker et al. ·			
	4	US- 6,058,426	05/02/20	00		Godwin et al.			
	5	US- 6,102,961	08/15/20	00		Lee et al.		The state of the s	
	6	US- 6,141,724	10/31/20			Butler et al.			
	7	US- 6,269,467 B1	07/31/20			Chang et al.			
	8	US- 6,594,799 B1	07/15/20	03	·	Robertson et al.			
	<u> </u>	<u> </u>	FORFIG	N PAI	FNT	DOCUMENTS			
	1	Foreign Patent Document	TORLIO			1	-	Pages,	
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind	Code <sup>5</sup> (if known)	D MM	ication ate I-DD- YYY	Name of Patentee or App Document		Columns, Lines, · Where Relevant Passages or Relevant Figures Appear	T <sub>€</sub>
	9	EP 0 433 066 A2		06-1	9-91	Hewlett-Packard Co	mpany		
	10	EP 0 433 066 A3		05-2	6-93	Hewlett-Packard Co	mpany		
	11	EP 0 944 002 A1		09-2	2-99	Sony Europe GmbH			
	12	EP 1 063 599 A2		12-2	7-00	Fujitsu Limited			
	13	EP 1 063 599 A3		10-0	8-03	Fujitsu Limited			Δ
	14	WO 01/42969 A2		06-1	4-01	Cadence Design Sy	stems, Inc.		
	15	WO 01/42969 A3		06-1	4-01	Cadence Design Sy	stems, Inc.	_	
	16	WO 01/65422 A2		09-0	7-01	Cadence Design Sy	stems, Inc.		
	17	WO 01/65422 A3		09-0	7-01	Cadence Design Sy			
	18	WO 01/65423 A2		09-0	7-01	Cadence Design Sy			
	19	WO 01/65423 A3		09-0	7-01	Cadence Design Sy	stems, Inc.		

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Trainstation is attached.

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ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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				Complete if Known			
Substitute for form 1449B-PTO				Application Number	10/633,338		
INFOR	MATION		SIIDE	Filing Date	July 31, 2003		
INFORMATION DISCLOSURE				First Named Inventor	Steven SHOLTIS		
STATE	MENT BY	APPLIC	CANT	Art Unit	2195		
(use	as many sheet	's as necessai	y)	Examiner Name	Meng Ai T. An		
Sheet	2	of	3	Attorney Docket Number	CA7035172001		

		NON PATENT LITERATURE DOCUMENTS	
Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal,	T
Initials*	No.1	serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	_
	1	Benini, L. et al., "Distributed EDA tool integration: the PPP paradigm", Proceedings of the International Conference	,
		on Computer Design, Oct. 1996, pp. 448-453, IEEE.	$\vdash$
	2	BLANCHARD, D. "EDA on the WWW PCB CAD", Printed Circuit Design (Feb. 1998) 15(2):34-37, Miller Freeman.	H
	3	Brglez, F. et al., "A universal client for distributed networked design and computing", Jun. 2001, IEEE, pp. 401-406	⊢
	4	CHAN, F.L. et al. "WELD-An Environment for Web-Based Electronic Design", Proceedings of the 35th Annual	
		Conference on Design Automation (May 1998), pp. 146-151	<u> </u>
	5	DALPASSO, M. et al. "Specification and Validation of Distributed IP-based designs with JavaCAD", Proceedings of	
		the Conference on Design, Automation and Test in Europe (March 1999) pp. 684-688.	<u> </u>
	6	Dalpasso, M. et al., "Virtual simulation of distributed IP-based designs", Jun. 1999, IEEE, 50-55.	<u> </u>
	7	Dieckman, D. et al., "DISCOE: Distributed Design and Analysis to Preserve Intellectual Property", Sep. 1998, IEEE,	
		pp. 57-60	-
	8	Geppert, L., "The Rising Tide of Web-Based Tools and Services Augurs the Next Sea Change in Electronic Design	
		IC Design on the World Wide Web", IEEE Spectrum, Jun. 1998, vol. 35, No. 6, pp. 45-50.	╄
	9	Konduri et al. "A Framework for Collaborative and Distributed Web-Based Design", Proceedings of the 36th	
		ACM/IEEE Conference on Design Automation (June 1999), pp. 898-903	┖
	10	Larson, N. et al., "Managing Design Processes: A Risk Assessment Approach", Nov. 1996, IEEE, pp. 749-759	L
	- 11	Lee, Dong-Eun et al., "CADIC: Computer-aided design on internet with cryptosystem", Oct. 1998, IEEE, pp. 2670-	
		2674	<u>.</u>
	12	MORETTI, G. "Got IP?" Printed Circuit Design (Oct. 1998) 15(10):31-33	
	13	ORFALI, R. et al. "Essential Client/Server Survival Guide" 1996, Katherine Showalter, 2.sup.nd ed., pp. 228-233	
		and 476	1_
	14	PERRIN, B. "Web-Based Circuit Engineering", Circuit Cellar INK (Mar. 1999) No. 104, pp. 28-30, 33, 35, 37-38	
	15	RAO, D.M. et al. "Web-Based Network Analysis and Design", ACM Transactions on Modeling and Computer	
		Simulation (Jan. 2000) 10(1):18-38	1

Examiner's	Date
Signature	Considered
3	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in the through citation in the through citation in the through citation is attached.

Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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					Complete if Known			
Subs	Substitute for form 1449B-PTO				Application Number	10/633,338		
INFORMATION DISCLOSURE					Filing Date July 31, 20			
					First Named Inventor	Steven SHOLTIS		
STATEMENT BY APPLICANT				ANI	Art Unit	2195		
(use as many sheets as necessary)					Examiner Name	Meng Ai T. An		
	Sheet	3	of	3	Attorney Docket Number	CA7035172001		

		NON PATENT LITERATURE DOCUMENTS	
Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal,	T
Initials*	No.1	serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	_
	16	Schindler, P. et al., "IP repository, a Web based IP reuse infrastructure", Custom Integrated Circuits, May 1999, IEEE, pp. 415-418	
	17	Wen et al., "Concurrent-Simulation-Based Remote IP Evaluation over the Internet for System-On-Chip Design"  14.sup.th International Symposium on System Synthesis, Sep. 2001, pp. 233-238	
	18	Wilsey, P., "Web-Based Analysis and Distributed IP", Proceedings of the 1999 Winter Simulation Conference, pp. 1445-1453	
	19	Zorian, Y., "Test requirements for embedded core-based systems and IEEE P1500", Nov. 1997, IEEE, pp. 191-199	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. This information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.